

The Protection of Plant Varieties and Farmer's Rights Act (PPVFR) in India: A Crucial Legal Framework for Plant Variety Protection

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How to cite this article: Qazi Mohammad Sharique Saleem and Naseem Ahmed (2024). The Protection of Plant Varieties and Farmer's Rights Act (PPVFR) in India: A Crucial Legal Framework for Plant Variety Protection44(4), 1202-1210

Abstract

The law governing plant varieties, as a unique area of intellectual property, plays a vital role in promoting agricultural innovation by balancing the needs of both plant breeders and farmers. The Protection of Plant Varieties and Farmers Rights Act (PPVFR) safeguards breeder's rights to novel plant varieties while permitting farmers to utilize, conserve, and disseminate genetic resources, thus promoting innovation and sustainable development goals (SDGs). This analysis examines the history, fundamental principles, and specific provisions of the PPVFR, highlighting the necessity of reconciling breeder's rights with conserving traditional practices. It additionally addresses enforcement challenges and the possible effects on conventional varieties. The inquiry examines the opportunities and challenges associated with implementing adequate plant variety protection under the Act, considering its overall impact on agricultural sustainability. Multiple strategies have been suggested to enhance the effectiveness of the PPVFR, such as fortifying enforcement mechanisms, increasing stakeholder awareness, and promoting the conservation of traditional varieties. The current analysis of plant variety law emphasizes the importance of more robust legal frameworks to protect plant varieties, promote agricultural innovation, and ensure the long-term management of genetic resources sustainable development goals (SDGs). This study seeks to strike a balance between long-term biodiversity conservation and innovation by addressing legal and environmental issues.

Keywords: Plant variety law, PPVFR, Intellectual property, Farmer's Rights, Breeders Rights Policy, Traditional Varieties, Legal framework, Sustainable development goal(SDGs).

Introduction

Plant variety law, a specialized branch of intellectual property, has emerged as a critical component of agricultural innovation. It aims to reconcile the interests of plant breeders who invest significant resources in developing new varieties with farmer's rights to use and conserve genetic resources.¹ The PPVFR, enacted in 2001 in India, is significant legislation in this domain. The concept of protecting plant varieties dates back to ancient civilizations. Nonetheless, the 19th century saw the emergence of the current legal system for protecting plant varieties.² Early systems were primarily based on patents, but their limitations in protecting plant varieties resulted in the creation of sui generis systems. These systems were designed specifically for plant varieties, recognizing their unique characteristics and the challenges of protecting them under traditional patent law.³

In 1961, the International Union for the Protection of New Plant Varieties (UPOV) was established to promote the global protection of plant varieties. The UPOV has established a framework of conventions that offers guidelines for member nations to implement plant variety protection systems. The UPOV conventions, although not legally binding, have profoundly impacted the formulation of plant variety legislation globally.⁴

Several Sustainable Development Goals (SDGs) are intimately related to plant variety law and farmers rights. This is how they come together:

SDG 2: Zero Hunger

Encouraging Food Security: Innovative breeding of new, resilient, high-yielding crop varieties is facilitated by robust plant variety protection. Food security and agricultural output may rise as a result.

Supporting Small-Scale Farmers:

Farmer's rights, such as the right to save, use, exchange, and sell farm-saved seed, empower small-scale farmers to maintain and improve their livelihoods.

SDG 12: Responsible Consumption and Production

Sustainable Agriculture: By encouraging the creation of pest and disease-resistant plant types, plant variety protection can lessen the demand for dangerous pesticides.

Resource Efficiency: Promoting the use of regionally suited cultivars can result in more economical water and resources

SDG 15: Life on Land

Conservation of Biodiversity: Plant genetic resources are vital to biodiversity, and plant variety protection programs can help preserve them.

¹ PL Gautam 2012 Protection of Plant Varieties and Farmers' Rights: A Review. *Indian journal of plant genetic Resources*, 25(1): 9–30 (2012).

² Government of India, *The Protection of Plant Varieties and Farmers' Rights Act, 2001* (No. 53 of 2001), Ministry of Agriculture and Farmers' Welfare, 2001

³ UPOV, *International Convention for the Protection of New Varieties of Plants*, (Geneva, 1961, revised 1991),

⁴ International Union for the Protection of New Varieties of Plants (UPOV), *Act of 1991 of the UPOV Convention*, UPOV, 1991

Sustainable Land Use: Encouraging the use of a variety of crop types can help keep ecosystems robust and stop land degradation.

Key Considerations for Balancing Plant Variety Law and Farmer's Rights:

Equitable Benefit Sharing: Ensuring that benefits arising from the use of plant genetic resources are shared fairly between breeders, farmers, and society.

Access to Genetic Resources: Providing farmers with access to a diverse range of plant genetic resources to adapt to changing environmental conditions.

Traditional Knowledge: Recognizing and protecting the traditional knowledge of farmers and indigenous communities regarding plant varieties.

Flexible and Adaptive Systems: Developing plant variety protection systems that are adaptable enough to take into account changing technology and a range of agricultural activities.

Policymakers may establish regulatory frameworks that foster innovation, guarantee food security, and safeguard the environment by carefully weighing the interests of farmers and plant breeders.

What is Plant variety?

The term “species” is a recognized unit of botanical classification within the plant kingdom; however, significant variation can exist within a single species. Agriculturists require flora with distinct characteristics appropriate to their environment and cultivation methods.⁵ A plant variety is a species subgroup that exhibits distinct characteristics such as morphology, growth patterns, yield, quality, and resistance. These characteristics set it apart from other plants of the same species. Varieties are typically cultivated or selected to improve specific characteristics, resulting in a more clearly defined subset of the species with shared and advantageous traits.

National Farmers Policy 2000

The Indian government implemented the National Agriculture Policy on July 28, 2000, to realize India's untapped agricultural potential and promote holistic rural development. The policy's primary goal is to improve rural infrastructure, create jobs, and enhance the quality of life for farmers, agricultural laborers, and their dependents. It also addresses the urgent need to reduce rural-urban migration by enhancing the quality of life in rural communities. Given the challenges posed by economic liberalization and globalization, the policy emphasizes achieving an annual growth rate of more than 4% in the agricultural sector.⁶

The policy promotes sustainable agricultural development that maximizes resource efficiency while protecting land, water, and biodiversity. It seeks to ensure equitable growth for farmers in all regions and promotes a demand-driven strategy that meets domestic market demands while increasing agricultural exports. The policy aims for technically sound, environmentally sustainable, and economically viable development, allowing Indian agriculture to thrive in a globalized economy.⁷

⁵ https://www.upov.int/export/sites/upov/about/en/pdf/wipo_upov_sme.pdf

⁶ Government of India, "National Agriculture Policy," July 28, 2000,

⁷ Government of India, *National Policy for Farmers* (2007), Ministry of Agriculture and Farmers' Welfare.

National Farmers Policy 2007

In 2007, the Indian government enacted the National Policy for Farmers to rejuvenate the agricultural sector and enhance farmers' economic circumstances. The policy was founded on recommendations from the National Commission on Farmers, established in 2004 under Prof. M.S. Swaminathan's direction, the commission aimed to enhance production, profitability, and sustainability within India's varied agricultural sectors. Additionally, the policy sought to engage educated youth in agriculture while guaranteeing sustained food and nutritional security. The conclusive report was submitted in October 2006, and the policy was enacted following revisions and input from multiple ministries, departments, and state governments.

This policy aims to enhance farmers economic circumstances by augmenting agricultural output profit margins and supplying essential resources like water and land. It encompasses stipulations for equitable pricing policies and disaster management strategies. The policy prioritizes enhancing the human aspect of agriculture, concentrating on both production and the welfare of farmers. It expands the definition of "farmer"

to guarantee that the policy advantages all agricultural laborers.⁸

Key highlights include reforms to guarantee access to productive assets, optimizing crop yield per water unit, and implementing drought, flood, and weather codes to mitigate risks in susceptible regions. The policy promotes the adoption of advanced technologies, including biotechnology, information and communication technology (ICT), renewable energy, and nanotechnology, to enhance production while preserving ecological equilibrium, referred to as the "Evergreen Revolution." A National Agricultural Bio-Security Regime will be instituted to guarantee agricultural biosecurity, while land care services will supply superior seeds and enhance soil quality.

Women in agriculture receive prioritized support during fieldwork, encompassing childcare and nutritional aid. Credit counseling centers and debt relief programs for financially troubled farmers are incorporated within credit and insurance initiatives. Field schools will promote knowledge exchange among farmers, whereas Gyan Choupals will disseminate information regarding loans and insurance. The Integrated National Social Security Scheme seeks to furnish farmers with insurance protection for health and aging. The policy aims to ensure fair pricing and create a unified national market by removing internal barriers, thus fostering a robust Minimum Support Price system.

The policy promotes cultivating nutritious crops such as millet, jowar, and ragi to improve food security in arid areas. It encourages prospective farmers to engage in cooperative and collective farming through self-help groups and create farmer-owned enterprises to improve productivity and diversify livelihoods via value addition and integrated farming systems.

The policy highlights the necessity of maintaining plant types as well as farmer's rights. The Protection of Plant Varieties and Farmer's Rights Act of 2001 was enacted to encourage the development of novel plant varieties in conformity with India's responsibilities under the Agreement on Trade-Related Aspects of Intellectual Property Rights. This protection seeks to strengthen the seed industry by assuring high-quality seeds for farmers, acknowledging their contribution to plant variety improvement, and encouraging breeders to invest in research and development to advance agricultural progress.

⁸ <https://pib.gov.in/PressReleaseIframePage.aspx?PRID=2002012>

The Protection of Plant Varieties and Farmer's Rights Act, 2001 (PPVFR)

Plant variety protection in India

Plant variety protection ensures that plant breeder's rights are legal. Plant Breeder Rights (PBRs) are intellectual property rights that confer exclusive rights on a registered variety breeder. The Indian government implemented the Protection of Plant Varieties and Farmer's Rights Act in 2001.⁹ The establishment of legislation became necessary after India ratified the Trade-Related Aspects of Intellectual Property Rights Agreement (TRIPs) in 1994. Article 27.3 (b) of this agreement requires member countries to establish plant variety protection using a patent, an effective sui generis system, or a combination of the two. As a result, India took advantage of the chance to draft legislation adapted to their respective systems, which was extended to member countries. The Patent Act of 1970 does not include patent protection for agricultural and horticultural production systems. The Sui generis system for plant variety protection was put in place to address equitable benefit-sharing concerns while also reconciling the interests of breeder farmers and rural communities.

1-Sui Generis System :

India has developed a distinctive system for protecting plant varieties that is distinct from the UPOV convention and specifically designed to meet the unique requirements and cultural context of Indian agriculture. A comprehensive piece of legislation, the PPVFR Act aims to protect the interests of plant varieties and farmers.¹⁰ The following are the Act's main provisions:

The Act permits the registration of new plant types. Breeders may submit an application for registration to the Plant Variety Protection Office.

Registered breeders have the sole right to manufacture, market, reproduce, export, and import their protected varieties. In addition, they are authorized to prevent the unauthorized exercise of these rights.

Researcher's Rights: Registered varieties can be used in research, testing, and the production of new types. Nevertheless, the original breeder must obtain prior authorization for further usage of a variety in order to promote continued growth.

Rights of farmer's:

Farmers rights to store, use, trade, and sell farm products—including seeds from protected varieties—are acknowledged by the PPV&FR Act. Nevertheless, they are unable to distribute branded seeds for these protected varieties.

Similar to how a breeder safeguards his registered variety, a farmer who finds or creates a new variety has the right to protect and register it. It is also possible for a cultivar variety to be registered as an existing variety. The PPV&FR Act of 2001 permits any farmer to keep, use, sow, re-sow, exchange, and share his output, including seeds of a protected variety. is or might be sold, as he had the option to do before the Act's passage. However, there is a clause that

⁹ The Protection of Plant Varieties and Farmers' Rights Act, 2001, No. 53 of 2001, Acts of Parliament (India), 2001.

¹⁰ Rangnekar, D. (2004). "Intellectual Property and Farmers' Rights: The Case of the Protection of Plant Varieties and Farmers' Rights Act, 2001.

forbids farmers from offering for sale seeds of a protected variety identified under the PPV&FR Act, 2001.¹¹

Essentially Derived Varieties (EDVs): The Act applies to EDVs derived from a protected variety but possess distinctive characteristics. The original breeder retains certain rights, and EDVs can be registered under specific conditions.

Enforcement: The penalties for violating plant varieties under the Act are enforced by the Plant Variety Protection Office.

The purpose and contribution of the Plant Varieties and Farmers Rights Protection Authority

The Government of India passed the PPV&FR Act 2001 in order to preserve existing plant varieties and create new ones. The Act acknowledges farmer's efforts to preserve, enhance, and make plant genetic resources available for the creation of new cultivars. This authority has been established at NASC Complex Pusa Complex, New Delhi.¹²

Objectives of the Protection of Plant Varieties and Farmers Rights Act, 2001

1. To implement an efficient framework for safeguarding plant varieties, farmer's and breeder's rights, and encouraging the generation of new plant varieties.
2. To acknowledge and defend the rights of farmers concerning the provision of plant genetic resources for the development of new plant varieties and the role that farmers play in their preservation and advancement at any particular time.
3. To protect the rights of plant breeders expedite the nation's agricultural development and promote funding for research and development to create new plant types, both in the public and private sectors.
4. To support the nation's seed industry's evolution in order to guarantee farmers have access to high-quality seeds and planting supplies. The New PPV&FR Act, 2001, which was in effect in 2005, established the Plant Varieties and Farmers Rights Protection Authority (PVPA). This authority is different in that it gives farmers rights that any other country has not provided.

The primary function of the authority is to register various plant varieties. Although the fortification of all plant types is essential, the safety of cultivators is critical due to their rare qualities. Farmer varieties are locally adapted and have disease, drought, salt-resistant, and medicinal properties. Farmer varieties can be used as a genetic resource for breeding. Intellectual property protection is provided to agricultural varieties by the Act.

Mode of Registration:

A thorough framework for plant variety registration in India is provided by the PPV&FR, Act, 2001.¹³ Several crucial steps are involved in the process:

Qualifications:

- Any person, group of people, or legal entity may file an application for registration.
- The variety needs to be new, unique, consistent, and stable (DUS).

How to Apply:

¹¹ Protection of Plant Varieties and Farmers' Rights Act, 2001, No. 53 of 2001, India

¹² Plant Varieties and Farmers' Rights Protection Authority. (n.d.). "About Us."

¹³ <https://plantaauthority.gov.in/sites/default/files/gbrochurenewenglish.pdf>

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- The PVPA receives the application.
 - The variety's denomination, botanical taxonomy, origin, and features must all be included in the application.
 - The required fee must be submitted with the application.

Testing & Inspection:

- The PVPA checks the application to make sure it complies with the rules and is complete.
- The variety is put through DUS testing by approved testing organizations if the application is determined to be in order.

Opposition and Publication:

- The PVPA publishes the application's details in the Plant Variety Journal of India.
- Within a certain time frame, any interested person may object to the registration.

Choice and Enrollment:

- After taking into account any objections, the PVPA decides whether to register.
- The PVPA issues a certificate of registration to the variety if it is determined to be eligible.
- For trees and vines, the certificate is good for nine years; for other crops, it is good for six.

Rights and Duties:

- The breeder is required to preserve the variety's purity and make it available to farmers for additional breeding and improvement;
- The registration gives the breeder the sole right to produce, sell, and market the variety for a predetermined amount of time.

Other Things to Think About:

- Under specific circumstances, farmer and community varieties may be protected by the PVPA.
- Additionally, the Act protects farmers' rights, such as the ability to store, use, trade, and sell farm-saved seed.

The significance of registering plant varieties:

- Innovation and investment in plant breeding are stimulated by plant variety registration.
- It safeguards breeders intellectual property rights.
- It guarantees farmers have access to high-quality planting material. ¹⁴

Challenges and Opportunities in Plant Variety Law

The plant variety law faces significant challenges, including:

Enforcement: Due to limited resources and farmer awareness, enforcing plant variety rights effectively in developing countries can be particularly difficult.

Balancing Interests: Maintaining a delicate balance between breeder and farmer rights. Overly strict protections may limit access to genetic resources, while insufficient protection can stifle innovation.

Rapid advances in biotechnology and genetic engineering have raised new concerns about the scope and utility of plant variety protection.

International Cooperation: Harmonizing plant variety laws across countries is essential for facilitating international trade in plant genetic materials.

¹⁴ https://ras.org.in/index.php?Article=the_registration_of_plant_varieties_by_farmers_in_india

Despite these challenges, plant variety law offers numerous opportunities for innovation and sustainable agriculture. We can improve food security, nutrition, and agricultural productivity by encouraging breeders to develop new varieties.

While the PPVFR represents a significant step forward, it has faced criticism and challenges. A primary concern is balancing breeders and farmers rights; At the same time, the Act enables farmers to conserve, consume, exchange, and sell agricultural produce; It bans the selling of branded seeds from protected plant types, raising concerns about the adequacy of farmer's rights. Enforcement remains challenging, particularly in rural areas, due to low awareness and limited resources for enforcement agencies. Furthermore, the complex registration process for essentially derived varieties (EDVs) causes uncertainty for both breeders and farmers, and critics argue that the Act may jeopardize the preservation of traditional varieties with valuable genetic traits.¹⁵

To improve PPVFR implementation, several strategies should be considered, including strengthening enforcement mechanisms through increased resources and capabilities, raising awareness among farmers and stakeholders about their rights and responsibilities, and streamlining the registration process to encourage breeders to protect their varieties. Furthermore, policies that promote the protection and usage of older varieties can help conserve genetic diversity, whereas encouraging international cooperation in harmonizing plant variety laws can facilitate global trade and stimulate innovation.

Conclusion

Plant variety law is critical to supporting agricultural innovation and preserving the interests of breeders and farmers. The Protection of Plant Varieties and Farmer's Rights Act of 2001 is an important step toward developing a strong framework for plant variety protection in India. As the globe faces new concerns relating to food security and climate change, the importance of plant variety law will only increase. Plant variety law is an important part of agricultural progress since it protects breeder's intellectual property while also providing farmers with access to genetic resources. The PPVFR Act has helped to develop a legislative framework for plant variety protection in India. However, issues such as enforcement, complexity, and balancing rights persist. Plant variety law and Farmer's rights are play crucial to achieving sustainable agriculture and food security. By finding a balance between defending farmer's interests and breeder's rights, countries can foster innovation, promote biodiversity, and ensure equitable access to genetics resources. This will play a major role to a resilient and sustainable food system.

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